



Serving Sutter and Yuba Counties

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Christopher D. Brown, AICP
Air Pollution Control Officer

OFF-ROAD EQUIPMENT APPLICATION

Agency/Company Name: _____

Mailing Address: _____

Physical Address (if different from above): _____

Contact Person Name: _____ Title: _____

Phone Number: _____ Fax Number: _____

E-Mail Address: _____

FUNDING REQUESTED

\$ _____

OTHER FUNDING SOURCES (GRANTS OR INCENTIVES)

\$ _____ Source: _____

TOTAL PROJECT COST

\$ _____

\$ _____ Source: _____

\$ _____ Source: _____

PROJECT TYPE

- Off-road Equipment Replacement
- Off-road Engine Repower
- Retrofit Purchase
- Other: _____

Authorized Representative who will sign the Grant Agreement:

Name:	Title:
Signature of Representative:	Date:

Third Party Certification

Complete this section only if someone completed the application, in whole or in part, on behalf of the applicant.

Print name of third party:	Amount paid to third party:
Signature of third party:	Date:

Off-road engine repower or retrofit projects can select any authorized dealer and may submit the following along with this application:

- Proof of liability insurance
- Itemized quote for new engine or retrofit
- Executive order for new engine or retrofit
- Optional: documentation of usage for past 24 months
- If applicable: co-funding information/documentation

If this information is not submitted with the initial application, a supplemental application will be requested later in 2020 prior to project approval.

Off-road Equipment Replacement Projects must purchase new equipment from dealer currently under agreement to participate in the Equipment Replacement Program, which include:

Dealership	Address	City	Contact	Phone*	Email
Beeler Tractor Co	887 Onstott Road	Yuba City	Mike Dihel	673-3555	craigprosales@live.com
Chico Farm and Orchard	9 Three Sevens Lane	Chico	Tom Dixon	893-9060	chicofarm@gmail.com
COE Orchard Equipment	3453 Riviera Road	Live Oak	Stacie Mackay	695-5121	Stacie.MacKay@coeshakers.com
Garton Tractor	275 Co Road 98	Woodland	Chris Arend	615-2828	carend@gartontractor.com
Holt Ag Solutions	95 W Kentucky Ave	Woodland	Jack Gabel	707-580-5793	jgabel@holtags.com
Holt of California	7310 Pacific Avenue	Pleasant Grove	Rob Cram	916-921-8956	rcram@holtca.com
Holt Ag Solutions	2950 Colusa Hwy	Yuba City	Terry Zerkovich	218-0767	tzerkovich@holtags.com
Holt Ag Solutions	851 N Tehama Street	Willows	Eric Peters	774-5496	epeters@holtags.com
Holt Ag Solutions	1210 Richvale Hwy	Richvale	Bob Gaschke	682-6192	bgaschke@holtags.com
Holt Ag Solutions	426 4 th Street	Williams	Tim Vaughn	682-6193	tvaughn@holtags.com
Industrial Power Products	355 East Park Drive	Chico	Rob Rice	893-0584	rrice@ipp-power.com
N & S Tractor	3056 Colusa Hwy	Yuba City	Bryan Ehresman	923-7675	behresman@nstractor.com
Orchard Machinery Corp	2700 Colusa Hwy	Yuba City	Joe Wells	300-5555	jw@shakermaker.com
Pape Machinery	2850 El Centro Road	Sacramento	Dennis Kibbe	916-597-8059	dkibbe@papemachinery.com
Valley Truck and Tractor	2100 State Hwy 20	Colusa	Daniel (Wally) Emery	458-4923	wemery@vtco.net
Valley Truck and Tractor	1549 Colusa Hwy	Yuba City	Garrett, Ryan, or Travis	673-4615	gpeacock@vtco.net ; roneal@vtco.net ; tbaker@vtco.net
Valley Truck and Tractor	17698 Hwy 113	Robbins	Michael Cardoza	738-4421	mcardoza@vtco.net
Valley Truck and Tractor	1751 Hwy 99	Gridley	Jesse Ryan	846-3677	jryan@vtco.net
Wilkinson International	1207 E. Kentucky Avenue	Woodland	Aaron Lampman	662-7373	aaron@wilkinsoninternational.com

* Area code is (530) unless noted

Checklist for Off-road Equipment Replacement Projects (to be submitted now or with supplemental application later):

- 1) Completed Application
- 2) Evidence that the business is covered by liability insurance
- 3) Two years of usage data is provided by either:
 PREFERRED Hour meter readings in table in section A.6 of this Application; or
Or
 24 months of documentation showing usage of equipment are attached
- 4) Proof of Existing Equipment Ownership, Operation, and Residency in CA
 Bill of sale from dealership or business authorized to sell equipment (private party not accepted)
Or
If no bill of sale, or only a private party bill of sale, provide the following for previous 24 months:
 Tax depreciation logs listing the equipment
 Property tax records listing the equipment
 Equipment insurance records
 Bank appraisal for equipment
 Maintenance/service records with receipts
 General ledgers
- 5) Documentation to Show Equipment Was Operational For Previous 12 months (**submit 1**):
 Revenue and usage records with operational, standby, and down hours for equipment
Or
 Routine inspections which document the operating condition of the existing equipment (OSHA or workplace required)
Or
 Employee time sheets linked to equipment usage
Or
 Preventive maintenance records tied to specific usage hours for equipment
Or
 Repair work orders specific to equipment
- 6) Replacement Engine ARB Certification (Executive Order) **From Dealer**
- 7) Replacement Equipment Price Quote & Spec Sheet **From Dealer**
- 8) Replacement Engine & Drive Train Warranty Documents **From Dealer**

OFF-ROAD APPLICATION

Complete each section. If the question does not apply (for example: asking for a fax number but you do not have a fax number) mark the answer as “n/a” for not applicable. This application is to be used for incentive funds for off-road equipment replacement. Applicant acknowledges that award is conditional upon approval of the District and must meet the minimum eligibility criteria.

A. Project Information

1. Project Life: <input type="radio"/> Maximum Eligible (see Note below) <input type="radio"/> Other: _____	2. Funding Requested: <input type="radio"/> Maximum (65% up to \$180,000) <input type="radio"/> Other: _____
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3. Percent of the year that equipment operates in California (0-100%):

4. Name of the counties in which the equipment operates and percent operation in each:

5. Is the equipment used in agricultural operations? (defined as the growing/harvesting of crops, raising plants at wholesale nurseries, or raising fowl/animals for the primary purpose of making a profit, providing a livelihood, or conducting ag research or instruction by an educational institution)

6. For Equipment Replacement projects, the two years of usage requirement is fulfilled by either:

- Hour meter readings listed in table below; or
- Equipment does not have a working hour meter and 24 months of documentation showing usage is attached to this application.

	Hour Meter Reading	Date of Reading
2018		
2019		
2020		

7. Annual usage in hours:

8. Has this equipment operated within a low-income community or disadvantaged community as designed by the State of California during the previous 2 years? If yes, please identify which ones by listing addresses or lat/long coordinates.

Note: Maps of low-income communities and disadvantaged communities are available at <https://www.arb.ca.gov/cc/capandtrade/auctionproceeds/communityinvestments.htm>. Operation within such communities will not affect grant program eligibility – the data is used for reporting purposes only.

- The maximum project life for all off-road CI equipment replacement projects is five years with the following exceptions:
1. The maximum project life for excavators, skid steer loaders, and rough terrain forklifts (as defined in Appendix B: Definitions) is three years.
 2. The maximum project life for crawler tractors, off-highway tractors, rubber tired dozers, and workover rigs (as defined in Appendix B: Definitions) is seven years.
 3. The maximum project life for all off-road non-farm LSI equipment replacement projects is three years.
 4. The maximum project life for replacement of an LSI forklift with a zero emission forklift is ten years. See Chapter 9 section (C)(1)(C)(4) for c/e calculations for this type of replacement.
 5. The maximum project life for off-road farm equipment is ten years. Air districts must offer a 10 year project life for farm equipment; however, applicants may request a project life less than 10 years.

B. Existing Equipment Information		
1. Equipment Type/Function:		
2. Equipment Make:		
3. Equipment Model:		
4. Equipment Model Year:		
5. Equipment Serial Number:		
6. Equipment Identification Number (<i>unique number designated by the applicant</i>):		
7. Number of Main Engines on this Equipment:		
8. Primary Equipment Location		
Street:		
City:	State:	Zip:
9. Engine Family:		
10. Engine Make:		
11. Engine Model:		
12. Engine Model Year:		
13. Engine Horsepower:		
14. Engine Serial Number:		
15. Engine Fuel Type:		
16. Does the applicant rent/lease forklift to others (<i>if applicable, for Large Spark Ignition only</i>)?		
17. Forklift Class (<i>if applicable, for Large Spark Ignition only</i>):		
18. Has the engine or equipment listed in this application applied for Carl Moyer Program funding, or any other incentive funding, by you or on your behalf? <input type="radio"/> Yes <input type="radio"/> No		
If "Yes," complete the following:		
Agency applied to:	Date and/or number of Agency Solicitation:	
Was the equipment awarded funding:	Amount of funding awarded/received:	
19. Please list any other financial incentive applied for or received, including tax credits or deductions, grants, or other public financial assistance for the equipment:		

C. New Equipment/Engine Information (fill in applicable sections)	
1. Projected Date of Purchase & Delivery of New Equipment or Installation of Engine/Retrofit:	
2. New Equipment Make:	
3. New Equipment Model:	4. New Equipment Model Year:
5. New Equipment Serial Number:	6. Number of Main Engines on this Equipment:
7. New Engine Family:	
8. New Engine Make:	
9. New Engine Model:	10. New Engine Model Year:
11. New Engine Serial Number:	
12. New Engine Horsepower:	13. New Engine Tier:
13. Retrofit Make/Model/Executive Order #:	

D. Regulatory Compliance Statement
As an applicant/participant, I declare that (check only one):
<input type="checkbox"/> 1. _____(Company Name) is in compliance with, and will remain in compliance with, and does not have any outstanding or unresolved Notices of Violation (NOV) or Notices to Comply or any unpaid settlements for alleged violations of any federal, state, and local air quality regulations including, but not limited to, the following: <ul style="list-style-type: none"> • In-Use Off-Road Diesel Vehicle Regulation • Stationary Engine ATCM • Any Other Diesel Air Toxic Control Measures • Statewide Truck and Bus Regulation • Portable Diesel ATCM • Local District Regulations
Or,
<input type="checkbox"/> 2. _____(Company Name) is not in compliance with, or cannot remain in compliance with, or does have an outstanding or unresolved Notices of Violation (NOV) or Notices to Comply or any unpaid settlements for alleged violations of any federal, state, and local air quality regulation. <p><i>A declaration must be attached to this document describing in detail the non-compliance or NOV, explaining the reason for the non-compliance or NOV and declaring the reasons why the applicant/participant believes their application should be considered.</i></p>

By signing below and submitting this application, I hereby certify under penalty of perjury that the information in the application and attachments is accurate and true.

Signature

Date

Name

Title

An applicant who is found to have applied for or received incentive funds from another entity or program for the same equipment or engine without disclosing that information shall be disqualified from funding for that project from all sources within the control of an air district or CARB. The air district or CARB may also seek penalties for such non-disclosure.